



# From the fluorescent lamp-induced bactericidal performance of sputtered Ag/TiO<sub>2</sub> films to re-explore the photocatalytic mechanism

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## ABSTRACT

Ag/TiO<sub>2</sub> composite films with the different Ag contents of 1, 3, and 5 vol.% were deposited at 25, 200, and 400 °C on glass substrates by radio frequency sputtering with a single cermet target formed by hot pressing the Ag nanoparticle-decorated TiO<sub>2</sub> nanopowder at 900 °C in argon atmosphere for 30 min, followed by annealing in air and the reduction under vacuum heating or by NaBH<sub>4</sub>. All the TiO<sub>2</sub> films were analyzed by X-ray diffraction technique to have an amorphous structure. High deposition and annealing temperatures all led to Ag aggregation in Ag/TiO<sub>2</sub>. Photodegradation of acid black 1 dye was used as the screen test to evaluate the photocatalytic ability of Ag/TiO<sub>2</sub> films, before the antibacterial test was preceded. The antibacterial ability on *Escherichia coli* was also evaluated. The 25 °C-deposited 3% Ag/TiO<sub>2</sub> films of 80 nm in thickness had the best performance in optical transmittance above 70% and killing the *E. coli*. The mechanism for the fluorescent lamp-induced bactericide of the 3% Ag/TiO<sub>2</sub> film is proposed. The amorphous 3% Ag/TiO<sub>2</sub> film had larger band gap of 3.67 eV than the annealed ones and is expected not to be excited by the fluorescent lamp, but to prolong the lifetime of the thermally induced, not photo-induced, charge carriers in the Ag/TiO<sub>2</sub> by its electric field of the illumination light and the attraction by Ag nanoparticles <8 nm in size. The Ag<sup>+</sup> release mechanism for bactericide is not the major one here after comparing with the tests in the dark.

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## 1. Introduction

TiO<sub>2</sub> is an *n*-type semiconductor photocatalyst with band-gap energy of 3.2 eV. When a photon at equal or higher energy ( $\lambda \leq 400$  nm) illuminates on TiO<sub>2</sub>, the photon energy can generate the electron-hole pairs inside TiO<sub>2</sub> for the redox reactions. Its applications range from water splitting [1], optoelectronics [2], gas sensing [3], anti-bacteria [4,5], organic photodegradation [6], solar energy conversion [7] etc. To greatly harvest the solar energy and better photochemical reactions, the composite-type TiO<sub>2</sub> photocatalysts have been widely pursued by the combinations of pure, cation- and anion-doped, and co-doped TiO<sub>2</sub> with metals and non-metals [8,9].

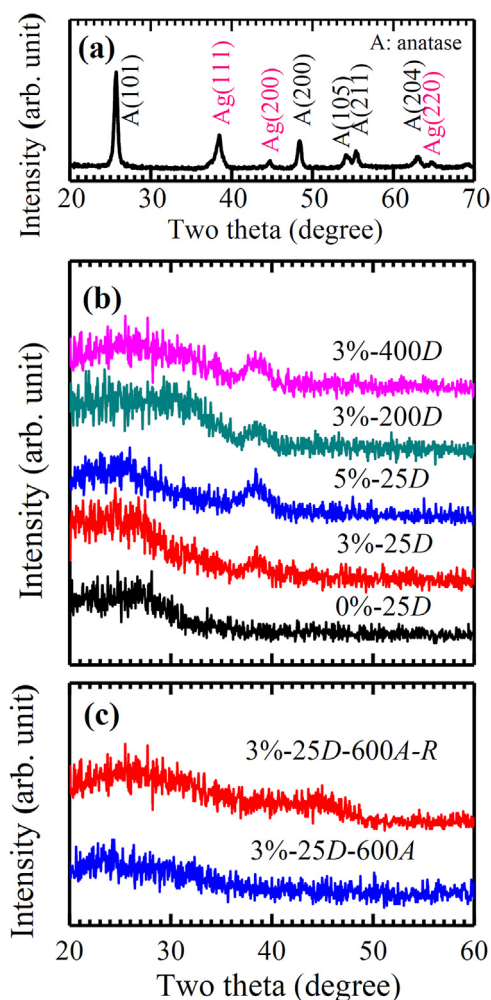
Metal-loaded TiO<sub>2</sub> powder has been used to enhance the efficiency of the photocatalytic process by separating the photo-induced charges with the conducting metal as a reservoir [10,11] and by the surface plasma resonance (SPR) of metal nanoparticle [12]. Many metals (Ag, Cu, Co, Fe, Ce, Al, Cr, Nd etc.) have been attached onto TiO<sub>2</sub> to form Ti–O–Ag by absorbing Ag<sup>+</sup> on the

hydroxylated TiO<sub>2</sub> surface [13,14]. Ag metal had shown better performance. The presence of Ag deposits on the TiO<sub>2</sub> surface enhanced the photo-oxidation of oxalic acid by a factor of 5 times, because the amount of excited oxygen atoms increased [15]. Additional benefit of adding Ag on TiO<sub>2</sub> is its antibacterial ability.

As TiO<sub>2</sub>-based slurry has a disadvantage of separating and removing catalyst particles from solution after photocatalytic reactions, the immobilization of TiO<sub>2</sub> in the form of thin film can be one of the solutions. However, Ag-embedded TiO<sub>2</sub> (Ag/TiO<sub>2</sub>) thin film, with much lower specific surface area to the Ag nanoparticle-decorated TiO<sub>2</sub> powder, is expected to have low photocatalytic capability. Ag/TiO<sub>2</sub> composite films have been prepared via sol-gel route [4], photo reduction of Ag under UV irradiation on nanoporous TiO<sub>2</sub> by spin-coating [16,17], and hybrid Ag sputtering/TiO<sub>2</sub> sol-gel routes [18]. The sputter depositions for TiO<sub>2</sub>/Ag/TiO<sub>2</sub> stacking with the Ti and Ag targets [19] and with the TiO<sub>2</sub> and Ag targets [20] had been used for Ag/TiO<sub>2</sub> composite films after a heat treatment was conducted to disperse the Ag film into the embedded Ag nanoparticles into TiO<sub>2</sub>. All the mentioned processes for Ag/TiO<sub>2</sub> have the problem of Ag aggregation under process heating or even squeezing out to the surface of TiO<sub>2</sub> film. The Ag aggregates with a size larger than 10 nm showed the SPR enhancement and increased the visible light absorption of Ag/TiO<sub>2</sub>

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**Fig. 1.** XRD diffraction analyses of (a) 3% Ag/TiO<sub>2</sub> powder before hot pressing for target, (b) Ag/TiO<sub>2</sub> films prepared with targets of different Ag contents and at different growth temperatures, and (c) the 25 °C-deposited 3% Ag/TiO<sub>2</sub> films after annealing at 600 °C in air (A) followed with and without the reduction by NaBH<sub>4</sub> (R).

film to harvest the visible light photocatalysis. On the contrast, the visible light absorption leads to the second problem of Ag/TiO<sub>2</sub> film for its low visible light transparency.

In this work, Ag/TiO<sub>2</sub> films were sputtered with 1–5 vol.% Ag/TiO<sub>2</sub> cermet targets, which were prepared by hot pressing Ag/TiO<sub>2</sub> powders with the attached Ag nanoparticles formed by the Ag<sup>1+</sup> reduction with an agent of NaBH<sub>4</sub>. Our Ag nanoparticle-attached TiO<sub>2</sub> targets had Ag particles separated and blocked by TiO<sub>2</sub> during deposition to avoid (1) the problem of Ag aggregation from the layer-by-layer deposition with its aggregate size close to the Ag film thickness and (2) the co-sputtering problem in controlling the extremely low Ag input flux together with the TiO<sub>2</sub> flux. The behaviors of our Ag/TiO<sub>2</sub> films on photocatalysis, optical transparency, and antibacterial activity were evaluated.

## 2. Experimental

### 2.1. Ag/TiO<sub>2</sub> composite nanoparticles

Anatase TiO<sub>2</sub> nanoparticles of 40–50 nm in size were purchased from Advanced Ceramics Nanotech Co., in Taiwan. The required AgNO<sub>3</sub> contents for 1, 3, and 5 vol.% Ag/TiO<sub>2</sub> were added into the TiO<sub>2</sub> suspensions, which were stirred for 2 h. The required NaBH<sub>4</sub> for reduction was dissolved in a 10 ml aqueous solution. The Ag-

reduced solution was dried by a vacuum evaporator followed by grinding into composite powder.

### 2.2. Ag/TiO<sub>2</sub> composite films

The 2-inch sputtering targets of Ag/TiO<sub>2</sub> with different Ag contents were prepared by hot pressing the composite powders. The Ag/TiO<sub>2</sub> films were deposited at substrate temperatures of 25 °C, 200 °C, and 400 °C on soda lime glass substrates of 20 × 20 × 1 mm in size by radio frequency (r.f.) magnetron sputtering at 80 W for 1 h under the argon atmosphere. The pure TiO<sub>2</sub> film was prepared with the same procedure for the comparison purpose.

Some Ag/TiO<sub>2</sub> films were undergone the annealing at 400, 500, and 600 °C for 1 h in air or in nitrogen. Some air-annealed (symbolized as A) Ag/TiO<sub>2</sub> films were immersed in a NaBH<sub>4</sub> solution for a reduction reaction (symbolized as R) to form pure Ag.

### 2.3. Characterizations

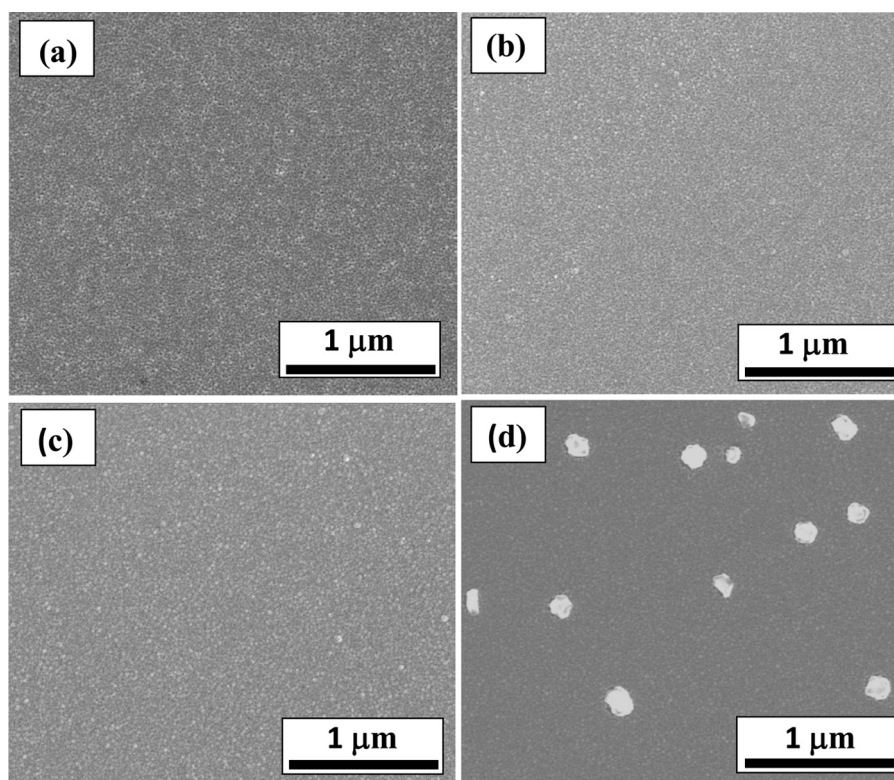
A Bruker D8 Discover SSS X-ray diffractometer operating with Cu-Kα radiation ( $\lambda = 0.15406$  nm) at 40 kV and 40 mA was used to determine the changes in crystal structure. The grazing incidence operating mode was used with an incidence angle of 1° and the sampled step size was 0.01° within  $2\theta = 20$ –60°. Field-emission scanning electron microscopy (FE-SEM, JSM 6500F, JEOL, Tokyo, Japan) was used to observe surface morphology. Field-emission transmission electron microscopy (FE-TEM, Tecnai F20 G2, Philips, Netherlands) was used to analyze the microstructure. The surfaces were further visualized by an atomic force microscope (AFM, Dimension Icon, Bruker). Dual beam focused ion beam SEM (Quanta 3D FEG, FEI, Oregon, USA) was used to prepare the cross-sectional specimen for FE-TEM. The Optical transmittance of our films was recorded in the wavelength range of 300–800 nm using a Jasco V-670 UV–visible–Near IR Spectrophotometer.

### 2.4. Photodegradation of acid black 1 (AB 1) dye

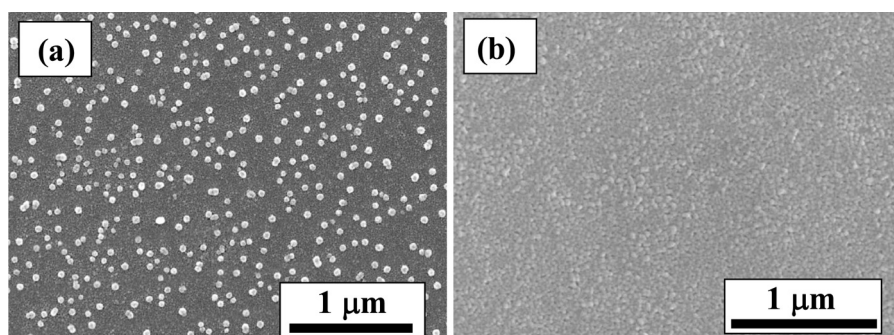
To select the better photocatalysts, the screen test of dye photodegradation is conducted under the illumination of a 450 W Hg–Xe ultraviolet lamp having a distance of 60 cm to the test solution. An infrared filter was inserted in between. For the light irradiation, the Ag/TiO<sub>2</sub> films were dispersed in a 50 ml dye solution of 10 ppm. The photodegradation was monitored by a Jasco V-670 UV–visible–Near IR Spectrophotometer for the absorbance intensity at 615 nm upon the change in the concentration of AB 1 dye after illumination for 80 min.

### 2.5. Photo cell killing activity

Antibacterial properties of the films were investigated by a standard drop test method in a dark room. *Escherichia coli* (*E. coli*) stains (ER 2738) were cultured in 15 ml sterilized LB broth with constant shaking at 37 °C overnight in a sterilized conical tube. The suspended bacteria were agglomerated by centrifuging at 4000 rpm for 10 min. The cell pellet was re-suspended in sterilized deionized water and diluted. The 1.0 optical density (OD) of  $6 \times 10^7$  CFU/ml at a wavelength of 600 nm was obtained by comparing 1 ml cell solution with the 1 ml LB broth solution as a background. After the dilution of the 1.0 OD solution with the LB broth, the final cell solution had a concentration of  $2 \times 10^5$  CFU in each 50  $\mu$ l solution. Each film coated on glass substrate with a dimension of 20 × 20 mm was kept in dark and loaded with 50 ml cell solution. The 20 W fluorescent lamp (FL20D-10, China Electric MFG. Corp., Taiwan) with the light wavelength between 380–780 nm, which is a common light source for indoor lighting, was continuously illuminated on test samples with the intensity of 680 lm/m<sup>2</sup> and was kept a constant



**Fig. 2.** SEM surface images of the (a) 3% Ag/TiO<sub>2</sub> and (b) 5% Ag/TiO<sub>2</sub> composite films grown at 25 °C and the 3% Ag/TiO<sub>2</sub> films deposited at (c) 200 °C and (d) 400 °C without any post-treatment.



**Fig. 3.** SEM surface images of the 25 °C-deposited 3% Ag/TiO<sub>2</sub> composite films (a) after 600 °C annealing in pure N<sub>2</sub> and (b) after 600 °C annealing in air and a following vacuum reduction at 400 °C.

distance of 30 cm. The cell solution of 10  $\mu$ l was collected at regular intervals of 30 and 60 min. The 10  $\mu$ l solution was for a diluted solution with a factor of 1000. This diluted solution of 50  $\mu$ l was spread on the LB agar plate and dried, followed by oven drying with the agar face down at 37 °C for 12 h to complete the test. To measure the antibacterial activity in the dark, the experiment was carried out under similar conditions without illumination. The surviving colonies were counted by eye with the help of a magnifying glass and the bacterial survival was calculated according to the following formula (Eq. (1)):

$$\text{Bacterial survival percentage or BS\%} = 100\% \times \frac{(N_{\text{exp}})}{(N_{\text{ref}})} \quad (1)$$

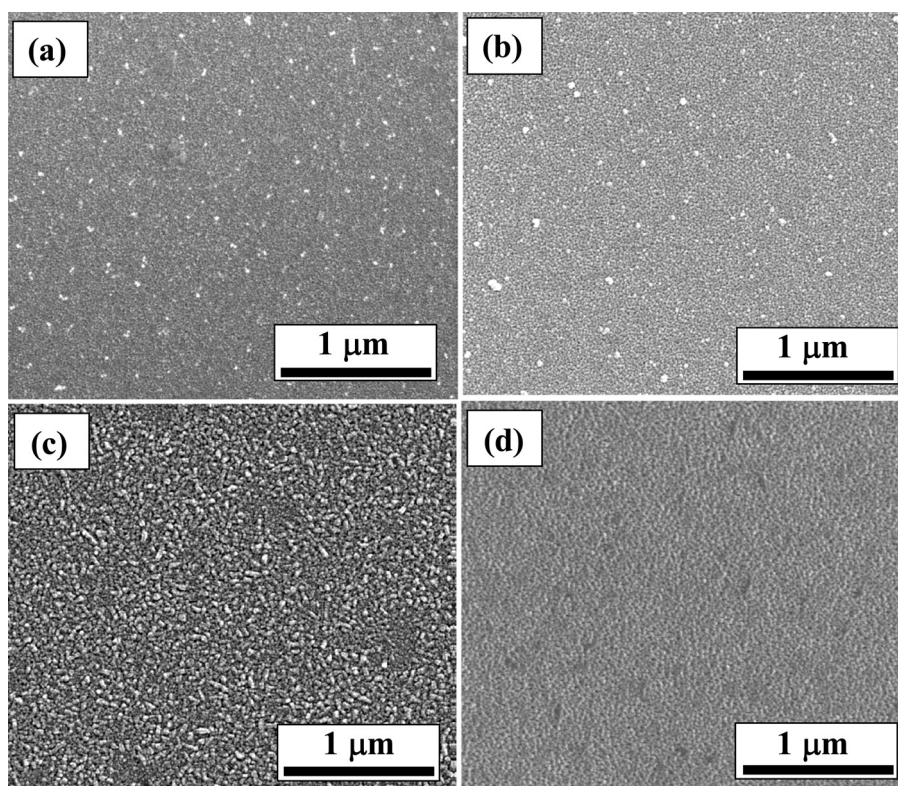
where  $N_{\text{exp}}$  is the live number of bacterial cells on coated substrate and  $N_{\text{ref}}$  is the live number of bacterial cells on the un-coated glass substrate. The bactericide percentage (BC%) is equal to (100%–BS%).

### 3. Results and discussion

#### 3.1. Characterizations of as-deposited Ag/TiO<sub>2</sub> films at different process conditions

Fig. 1a shows XRD diffraction analyses of 3% Ag/TiO<sub>2</sub> powder before hot pressing for target. From the powder diffraction, the commercially available TiO<sub>2</sub> was well crystallized. Ag nanoparticles after a reduction process also had crystallized well with the diffraction peaks contributed from the (1 1 1), (2 0 0), and (2 2 0) planes. After sputtering at different substrate temperatures, the obtained Ag/TiO<sub>2</sub> films prepared with targets of different Ag contents were analyzed by XRD, as shown in Fig. 1b. There were no observable peaks contributed from TiO<sub>2</sub>. The only detected peak at  $\sim 38.5^\circ$  was contributed from Ag. These data indicate that all TiO<sub>2</sub>-related films did not have a good crystallinity, even though the film was grown at





**Fig. 4.** SEM surface images of the 25 °C-deposited 3% Ag/TiO<sub>2</sub> films after annealing at (a) 400 °C, (b) 500 °C, and (c) 600 °C in air and a following reduction reaction by NaBH<sub>4</sub> and (d) SEM image of 25 °C-deposited 3% Ag/TiO<sub>2</sub> film after annealing at 500 °C in air without a reduction reaction for the comparison purpose.

high temperature of 400 °C. As the pure TiO<sub>2</sub> film also displayed an amorphous structure, the difficulty in its crystallization is related to the confinement from the glass substrate.

Fig. 2 shows SEM surface images of the (a) 3% Ag/TiO<sub>2</sub> and (b) 5% Ag/TiO<sub>2</sub> composite films grown at 25 °C and the 3% Ag/TiO<sub>2</sub> films deposited at (c) 200 °C and (d) 400 °C without any post-treatment. The data infer that the 25 °C-deposited TiO<sub>2</sub> films had a dense and smooth microstructure, which had made these images difficult to be observed even under a magnification of  $\times 100,000$ . Once the growth temperature increased, the 3% Ag/TiO<sub>2</sub> film had the Ag aggregates on the surface (Fig. 2(d)). The Ag aggregates of  $\sim 40$  nm in size became much observable after the film grew at 400 °C. Any heating for the Ag-containing composite films is not favorable. With the Ag aggregation, there are some instantaneous problems: (1) Ag protrusion and peeling and (2) precipitation leading to the non-uniform Ag distribution with the Ag-depletion zone around Ag aggregates. It looks like the Ag precipitation is not recommended in Ag/TiO<sub>2</sub> composite films. However, Ag nanoparticles have gotten much attention because of its surface plasmonic resonance phenomenon.

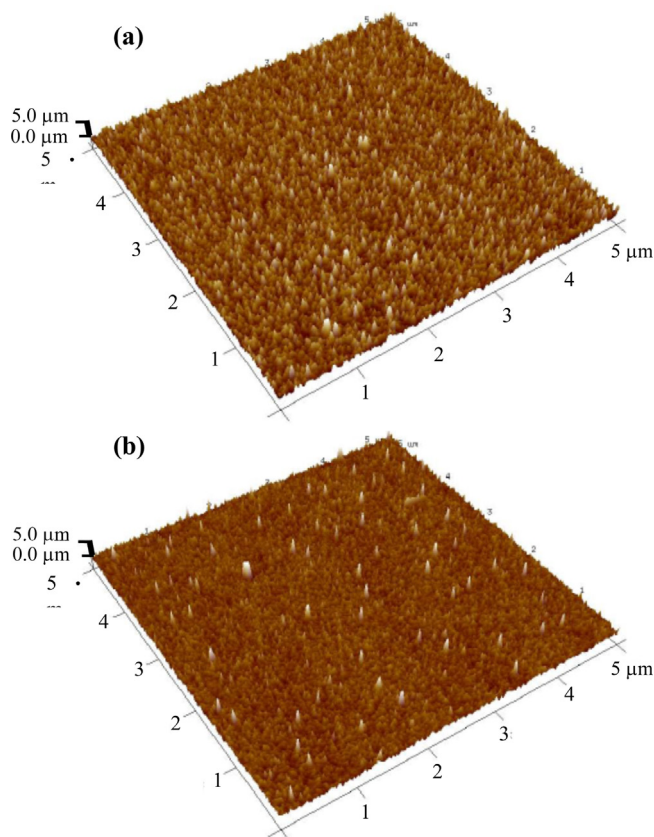
For the study of the Ag content in TiO<sub>2</sub> for photodegradation, the tests of the 25 °C-deposited Ag/TiO<sub>2</sub> composite films with 0, 1, 3, and 5% Ag were evaluated with AB 1 dye under the UV–visible irradiation for 80 min and the degradation percentages of dye were 10.0, 11.5, 18.8, and 15.9%, respectively. The 3% Ag/TiO<sub>2</sub> film performed the best. An optimal Ag content is required in TiO<sub>2</sub>. For the study of the deposition temperature on photodegradation of dye, the percentages were 18.8, 16.7, and 12.8% for films grown at 25, 200, and 400 °C, respectively. 3% Ag/TiO<sub>2</sub> films grown at 200 and 400 °C, intending to enhance the formation of the anatase phase of TiO<sub>2</sub> at the initial design, had become worse in photodegradation of dye as the Ag aggregates became bigger (Fig. 2c and d). These tests

confirm the Ag aggregate-free Ag/TiO<sub>2</sub> composite films can have better photocatalytic response. With these screen tests, the photodegradation together with SEM images, we can select the better specimens for antibacterial tests instead of testing all the samples.

### 3.2. Characterizations of Ag/TiO<sub>2</sub> films after post treatments

For TiO<sub>2</sub> with better crystallinity to further improve its photocatalysis, the 25 °C-deposited Ag/TiO<sub>2</sub> films had undergone different heat treatments. As Ag metal needs to avoid its oxidation and TiO<sub>2</sub> prefers annealing in air to avoid reduction, annealing treatments in inert atmosphere and in air followed by vacuum reduction were tested. Fig. 1c shows the 25 °C-deposited 3% Ag/TiO<sub>2</sub> films after annealing at 600 °C in air with and without the reduction by NaBH<sub>4</sub>. The 600 °C-air annealed film with and without reduction remained amorphous and its crystallization was difficult. Fig. 3 shows SEM surface images of the 25 °C-deposited 3% Ag/TiO<sub>2</sub> composite films (a) after 600 °C annealing in pure N<sub>2</sub> and (b) after 600 °C annealing in air and a following vacuum reduction at 400 °C. The film annealed at the N<sub>2</sub> atmosphere had Ag precipitates of  $\sim 60$  nm and was not recommended. The 600 °C-annealed film remained smooth without Ag precipitates and degraded 5.6% dye by photocatalysis. The air-annealed film was reduced in vacuum and degraded 7.1% dye. The vacuum reduction can improve slightly the photocatalysis of Ag/TiO<sub>2</sub>.

Fig. 4 shows SEM surface images of the 25 °C-deposited 3% Ag/TiO<sub>2</sub> films after annealing at (a) 400 °C, (b) 500 °C, and (c) 600 °C in air and a following reduction reaction by NaBH<sub>4</sub> and (d) SEM image of 25 °C-deposited 3% Ag/TiO<sub>2</sub> film after annealing at 500 °C in air without a reduction reaction for the comparison purpose. Comparing Fig. 4b and d, the oxidized film (Fig. 4d) was clean and the oxidized and reduced film (Fig. 4b) became rough with



**Fig. 5.** AFM topographic images of the 25 °C-deposited 3% Ag/TiO<sub>2</sub> films (a) without a post-treatment and (b) after 500 °C annealing in air and a following reduction reaction by NaBH<sub>4</sub>.

the protrusion of reduced Ag. The Ag protrusion was also clear from Fig. 4a. After air annealing at 600 °C and NaBH<sub>4</sub> reduction, the Ag/TiO<sub>2</sub> film had a totally different surface morphology with larger grains. Comparing with Fig. 3(b), the grains after the NaBH<sub>4</sub> reduction (Fig. 4c) became much clearer. For the dye degradation tests, the photodegradation percentages were 10.9, 17.7, and 15.9% for films air annealed at 400, 500, and 600 °C, respectively, followed by the NaBH<sub>4</sub> reduction. The best photocatalytic performance was for the 3% Ag/TiO<sub>2</sub> film after 500 °C annealing air and a reduction by NaBH<sub>4</sub>, which was comparable to that of the as-deposited 3% Ag/TiO<sub>2</sub> film. At this stage, we can confirm that the annealing temperature is good at 500 °C and the reduction of 3% Ag/TiO<sub>2</sub> by NaBH<sub>4</sub> is much effective to enhance its photocatalysis.

Fig. 5 shows AFM topographic images of the 25 °C-deposited 3% Ag/TiO<sub>2</sub> films (a) without a post-treatment and (b) after 500 °C annealing in air and a following reduction reaction by NaBH<sub>4</sub>. These two films had shown the comparable photocatalytic capability, 18.8% vs. 17.7%. The images in Figs. 2 b and 4 b were difficult to tell their differences. From AFM surface topographic images, the only difference is that the 500 °C annealed and NaBH<sub>4</sub>-reduced film has shown a few Ag precipitates.

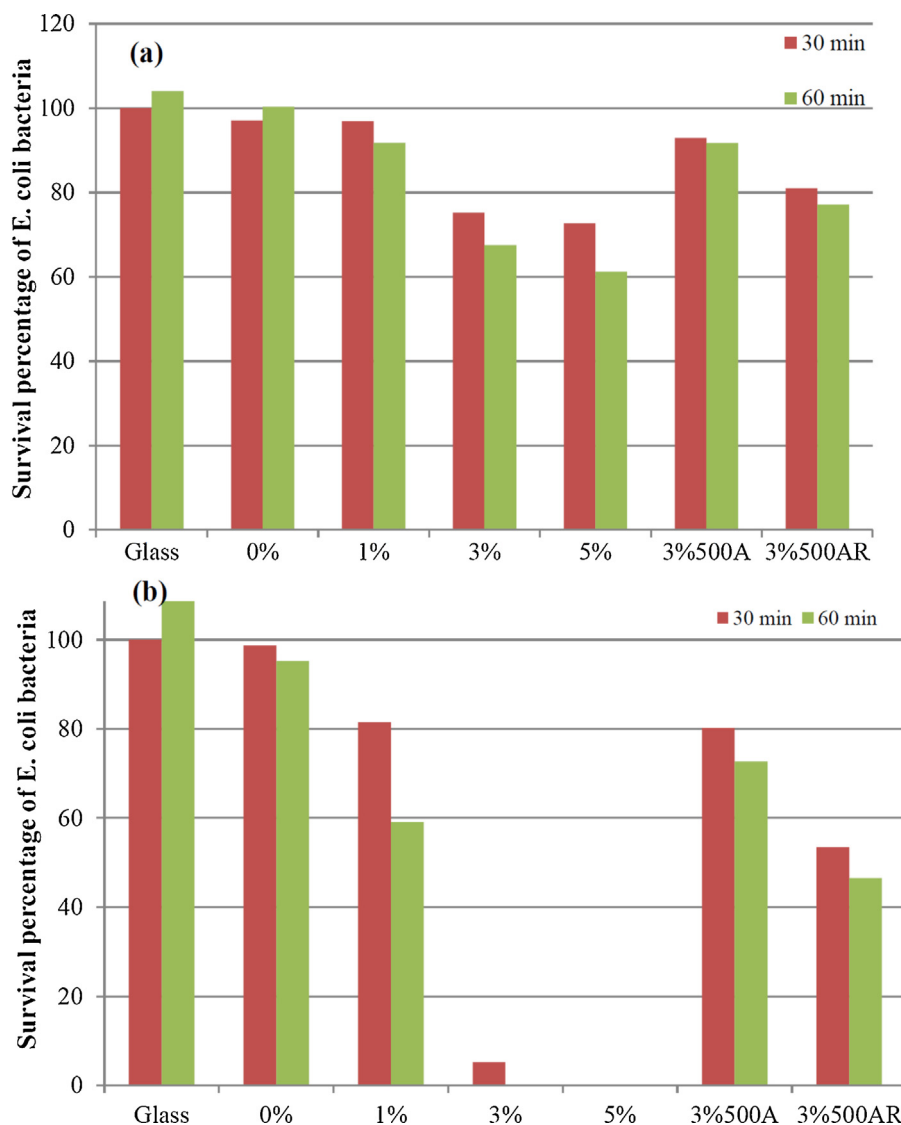
### 3.3. Antibacterial performance of Ag/TiO<sub>2</sub> films

Fig. 6 shows survival percentage of *E. coli* bacteria on the bare glass substrate, on the pure TiO<sub>2</sub> film, on the 25 °C-coated Ag/TiO<sub>2</sub> composite films with the Ag contents of 1, 3, and 5%, and on the 25 °C-coated 3% Ag/TiO<sub>2</sub> films annealed at 500 °C in air w/o a following reduction reaction by NaBH<sub>4</sub>, tested under (a) the dark condition and (b) the illuminated conditions by a 20 W fluorescent lamp illuminated for 30 and 60 min. For soda-lime glass substrate

and the pure TiO<sub>2</sub> film, both had increased bacteria amounts after staying a longer period in the dark. Under fluorescent light irradiation, the pure TiO<sub>2</sub> film with BS% (BC%) of 96% (4%) was not effective in bactericide. It looks consistent for pure TiO<sub>2</sub> not as a fluorescent light photocatalyst. For the 25 °C-deposited 1, 3, and 5% Ag/TiO<sub>2</sub> films, they showed the decreased BS% of 91, 68, and 61%, respectively, in the dark due to the increased Ag nanoparticles. After illuminated for 30 min, the 1, 3, and 5% Ag/TiO<sub>2</sub> films had the BS% (BC%) of 81 (19), 5 (95), and 0 (100)%, respectively. BS% (BC%) improved to 59 (41), 0 (100), and 0 (100)% after illuminated for 60 min. After comparing Fig. 6a and b, the 3 and 5% Ag/TiO<sub>2</sub> films displayed the fluorescent light-induced bactericidal performance. Pure TiO<sub>2</sub> film almost did not have the fluorescent light-induced bactericide ability. 3% Ag in TiO<sub>2</sub> is sufficient for bactericide. Ag incorporation in TiO<sub>2</sub> is important to enhance the fluorescent light-induced antibacterial activity. For the 3% Ag/TiO<sub>2</sub> film after 500 °C air annealing, it had BS% of 92 and 73% in the dark and under illumination, respectively. For the 3% Ag/TiO<sub>2</sub> film after 500 °C air annealing and a reduction reaction by NaBH<sub>4</sub>, its BS% values were 77 and 47% in the dark and under illumination, respectively. From the dye photodegradation, the 25 °C-deposited 3% Ag/TiO<sub>2</sub> film had comparable performance to its film after annealed at 500 °C in air followed by a reduction by NaBH<sub>4</sub>. However, their bactericidal performance is largely different. The 3 and 5% Ag/TiO<sub>2</sub> films deposited by single-target sputtering can perform the excellent bactericidal performance if they do not undergo the post treatments.

To have a better look of the bactericidal performance, optical photographs of re-cultivated *E. coli* colonies on agar are shown in Fig. 7 for antibacterial tests of pure TiO<sub>2</sub> and 1%, 3%, and 5% Ag/TiO<sub>2</sub> films without and after illumination by a fluorescent lamp for 60 min. Combining with the data in Fig. 6, it can be seen that 3% and 5% Ag/TiO<sub>2</sub> films can largely reduce the bacterial proliferation. No bacteria can survive. However, the 1% Ag/TiO<sub>2</sub> film apparently has a much weak bactericidal ability. Sufficient Ag nano particles in TiO<sub>2</sub> films are required to uniformly decorate the TiO<sub>2</sub> for enhancing antibacterial effect.

There are limited reports for Ag/TiO<sub>2</sub> films with the fluorescent light-induced antibacterial activity. Ogorevc et al. demonstrated the bacteria-killing abilities of 100% for 1% Au-TiO<sub>2</sub> film, 50% for 1% Ag-TiO<sub>2</sub> film, and 0% for pure TiO<sub>2</sub> film under two 15 watt Osram lamps for 90 min illumination [21]. Their films were all prepared by the sol-gel method with the addition of the triblock copolymer of Pluronic F127 into the Ti-containing sol for porosity. Their 1% Ag-TiO<sub>2</sub> film was porous and not optically transparent, and had the antibacterial performance close to ours (1% Ag/TiO<sub>2</sub> shown in Fig. 6b). The consistency in antibacterial activity explains the reliability of our data. For other reports with the antibacterial activity, they had their Ag coated on TiO<sub>2</sub> instead of embedding into TiO<sub>2</sub> films or the Ag aggregated particles in TiO<sub>2</sub> films [22–24]. The bactericidal ability of Ag/TiO<sub>2</sub> films had been attributed to the Ag contribution without the need of light illumination [25–30] or to the photocatalysis under the UV irradiation [31–33]. Few reports can demonstrate the bactericidal photocatalyst films under the indoor lighting with a fast reaction rate [28]. There are also no reports to demonstrate the optical transmittance above 70% for the indoor lighting-induced bactericidal films, with a potential application on window glass. One of the key differences is the Ag aggregation, which has frequently occurred for the sol-gel method [33] and reactive co-sputtering [26,19] w/o a subsequent annealing treatment. The other key difference is the state of TiO<sub>2</sub>. Our Ag/TiO<sub>2</sub> was amorphous and did not show the grains and grain boundaries, while other works had attempted to anneal for crystallization. There is another promising system of the CNT-added TiO<sub>2</sub> films to show the excellent visible light photo-inactivation, but it needs 20 wt% CNT [34].



**Fig. 6.** Survival percentage of *E. coli* bacteria on the bare glass substrate, on the pure  $\text{TiO}_2$  film, on the  $25^\circ\text{C}$ -coated  $\text{Ag}/\text{TiO}_2$  composite films with the Ag contents of 1, 3, and 5%, and on the  $25^\circ\text{C}$ -coated 3%  $\text{Ag}/\text{TiO}_2$  films annealed at  $500^\circ\text{C}$  in air (A) w/o a following reduction reaction by  $\text{NaBH}_4$  (R), tested under (a) the dark condition and (b) the illuminated conditions by a 20 W fluorescent lamp illuminated for 30 and 60 min.

#### 3.4. Exploring the fluorescent light-induced antibactericidal mechanism

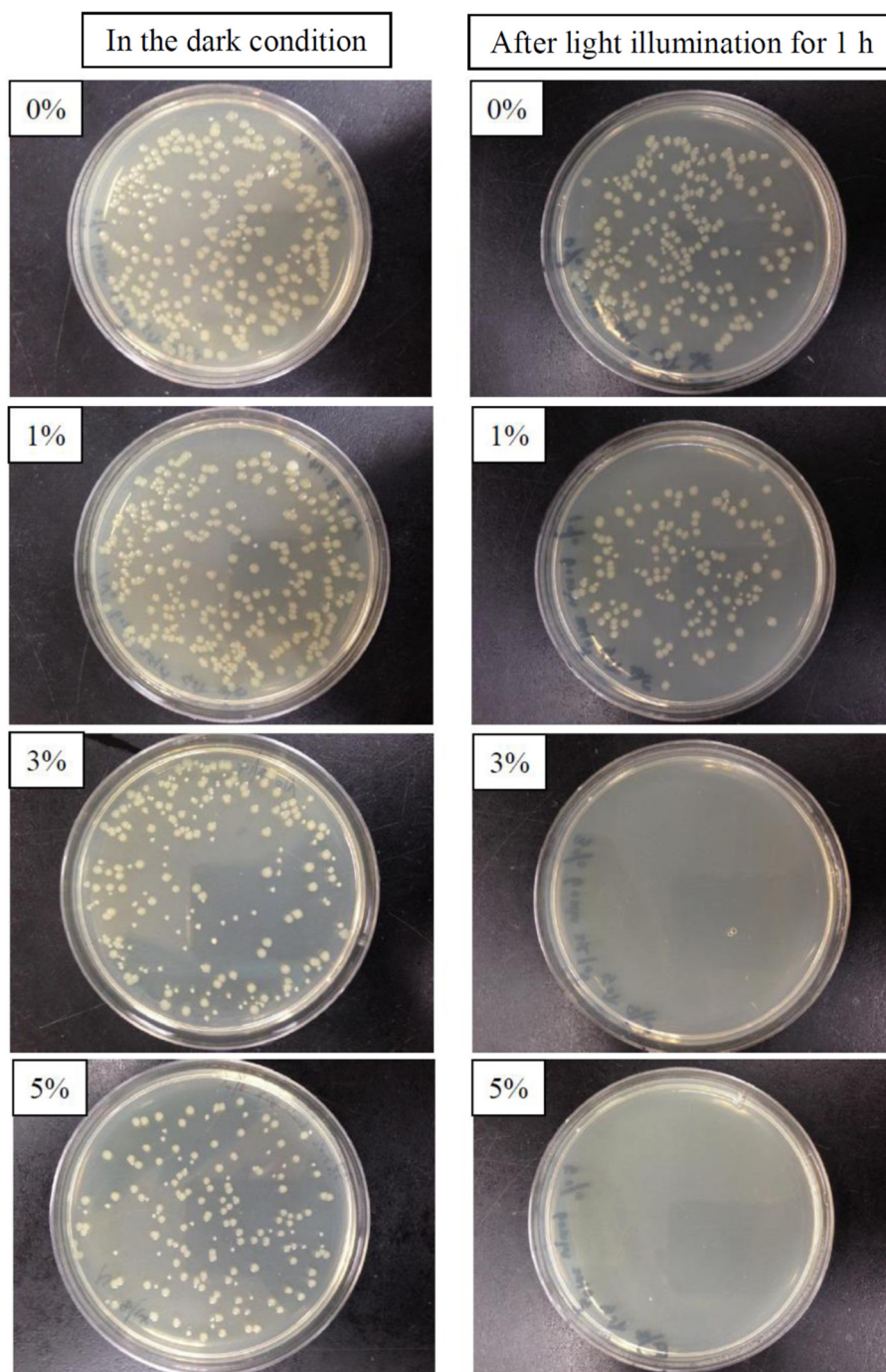
To elucidate the excellent performance, the  $25^\circ\text{C}$ -deposited 3%  $\text{Ag}/\text{TiO}_2$  film was evaluated by TEM. Fig. 8 shows (a) low and (b) high magnified TEM images of the 3%  $\text{Ag}/\text{TiO}_2$  composite films without post treatments. From the cross-sectional image, the film had a thickness of 80 nm. The Ag nanoparticles in film were smaller than 8 nm and they were uniformly distributed except the clustering close to the glass substrate. The Ag nanoparticle was crystallized and identified by the lattice fringe in Fig. 8b. However, the  $\text{TiO}_2$  matrix could not obtain the diffraction spots and remained amorphous with a disordered microstructure. The amorphous nature from the TEM test is consistent with the result shown in Fig. 1(b). This TEM analysis declares that it is no need for  $\text{TiO}_2$  in  $\text{Ag}/\text{TiO}_2$  films to be well crystallized or to be an anatase phase for better indoor light antibacterial activity.

Fig. 9 shows optical transmittance of the  $25^\circ\text{C}$ -deposited  $\text{TiO}_2$ , 3%  $\text{Ag}/\text{TiO}_2$ , 5%  $\text{Ag}/\text{TiO}_2$  composite films, and the  $25^\circ\text{C}$ -deposited 3%  $\text{Ag}/\text{TiO}_2$  films annealed at  $500^\circ\text{C}$  in air without and with a following reduction reaction by  $\text{NaBH}_4$ . The pure  $\text{TiO}_2$ , 3%  $\text{Ag}/\text{TiO}_2$ ,

and 5%  $\text{Ag}/\text{TiO}_2$  films had the transmittance above 75, 70, and 65%, respectively, in the range of 300–800 nm. Both of the air annealed films without and with the  $\text{NaBH}_4$  reduction had the exactly same transmittance above 70% across the whole wavelength range of 300–800 nm except a deep absorption at 400 nm. The deep absorption at 400 nm has been frequently observed for the photocatalysts with Ag nanoparticles to enhance the visible light absorption by the surface plasmonic resonance. Together with the microstructural analysis, both of the  $500^\circ\text{C}$  air-annealed  $\text{Ag}/\text{TiO}_2$  films without (Fig. 4d) and with (Figs. 4b and 5b) the  $\text{NaBH}_4$  reduction all behaved the same with a small amount of Ag precipitation to contribute to the 400 nm absorption.

The  $25^\circ\text{C}$ -deposited 3%  $\text{Ag}/\text{TiO}_2$  film with a smooth surface, a uniform distribution of Ag nanoparticles  $\leq 8$  nm in size, an indoor light-induced bactericidal ability under a weak 20 W fluorescent lamp, and an optical transmittance  $>70\%$  in the wavelength range of 300–800 nm is promising to be used as a transparent window coating and coatings for living environment and public facilities, where the weak indoor lighting is sufficient for the photocatalytic bactericide.





**Fig. 7.** Typical optical photographs of re-cultivated *E. coli* colonies on agar for antibacterial tests of pure TiO<sub>2</sub> and 1%, 3%, and 5% Ag/TiO<sub>2</sub> films without and after illumination by a fluorescent lamp for 60 min.

There are three issues arise from this study. Firstly, how can this Ag/TiO<sub>2</sub> film be fluorescent light excited for bactericide, while it is not for pure TiO<sub>2</sub> film with the same behavior in optical transmittance? Secondly, what is the mechanism for the indoor light-induced antibacterial activity? Thirdly, why have other similar works not observed the indoor light photocatalytic bactericide? Based upon Fig. 9b, Plots of  $(\alpha h\nu)^2$  vs. photon energy ( $h\nu$ ) for the optical band gap determination, we could calculate the direct energy band gap by using the Tauc equation [35].

$$(\alpha h\nu)^2 = A(h\nu - E_g)$$

where  $\alpha$  is absorption coefficient,  $A$  is a constant,  $h\nu$  is the incident photon energy, and  $E_g$  is the energy band gap of the test films. The  $E_g$  values were 3.67, 3.67, and 3.70 eV for pure TiO<sub>2</sub> and 3% and 5% Ag/TiO<sub>2</sub>, respectively. The amorphous TiO<sub>2</sub>-based films had much high  $E_g$  values than the expected 3.2–3.4 eV for TiO<sub>2</sub>. The annealed and the annealed plus reduction films had an absorption peak for band gap of 2.79 eV, because of the surface plasmonic resonance of precipitated Ag nanoparticles. Therefore, our 3% Ag/TiO<sub>2</sub> film with good bactericidal performance cannot be excited by the fluorescent lamp with the light wavelength between 380–780 nm. The existing electrons and holes come from thermal equilibrium and their numbers are low at room temperature. For the specimens

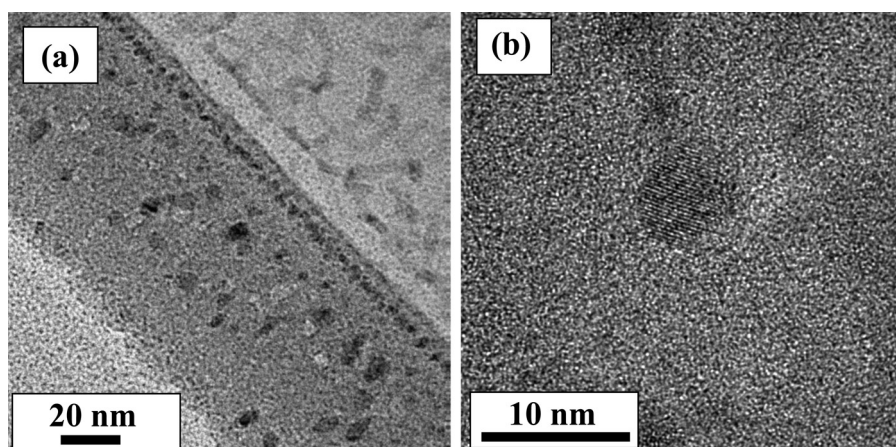


Fig. 8. (a) Low and (b) high magnified TEM images of the 25 °C-deposited 3% Ag/TiO<sub>2</sub> composite films without a following annealing procedure.

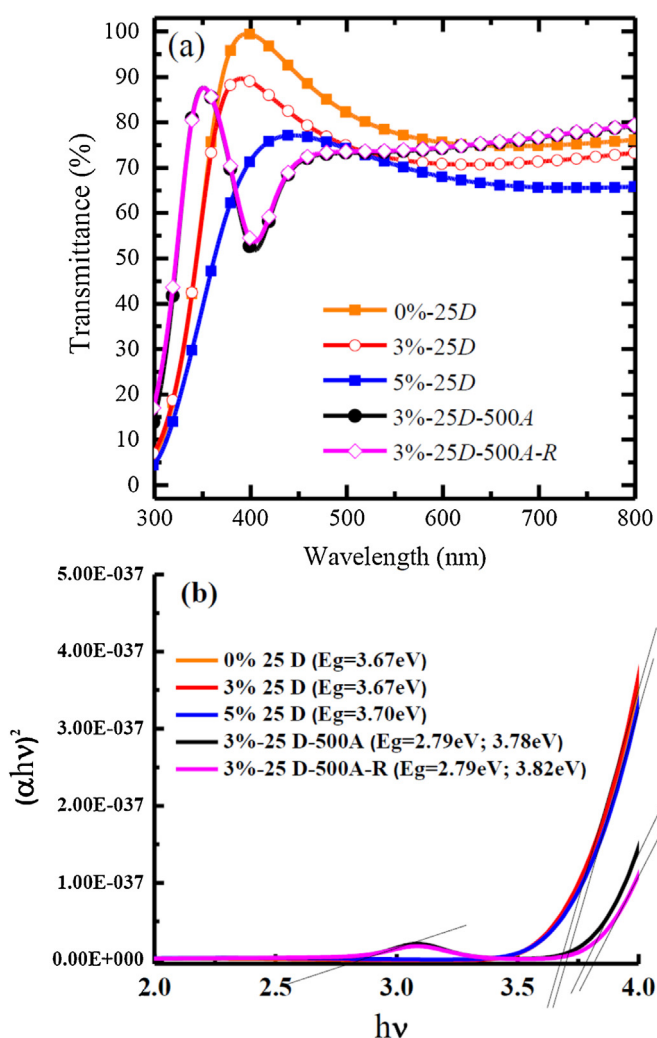


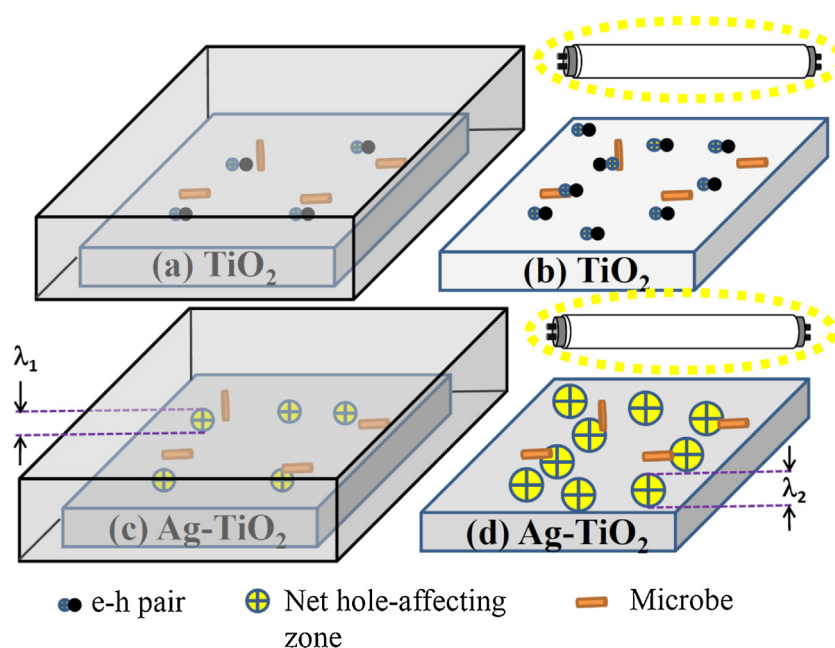
Fig. 9. (a) Optical transmittance and (b) Plots of  $(\alpha h\nu)^2$  vs. photon energy ( $h\nu$ ) for the optical band gap determination of the 25 °C-deposited TiO<sub>2</sub>, 3% Ag/TiO<sub>2</sub>, and 5% Ag/TiO<sub>2</sub> films and the 25 °C-deposited 3% Ag/TiO<sub>2</sub> films annealed at 500 °C in air (A) without and with a following reduction reaction by NaBH<sub>4</sub> (R).

with the SPR effect, their bactericidal effect is not good. As pure TiO<sub>2</sub> does not show the same bactericidal behavior, the incorporation of the dispersed Ag nanoparticles into TiO<sub>2</sub> is the key point. As pure TiO<sub>2</sub> alone is not useful and the Ag nanoparticles in TiO<sub>2</sub>

(Fig. 8) are not covering the whole film surface, the antibacterial ability of 3% Ag/TiO<sub>2</sub> film comes from the synergy effect of TiO<sub>2</sub> and Ag nanoparticles. To form the dispersed Ag in Ag/TiO<sub>2</sub>, the first thing to note, based upon our processing and experimental data, is the Ag added to target needs to be in nanometer size through a chemical route instead of physical mixing of powders for uniform separation. The second one is the Ag in Ag/TiO<sub>2</sub> films cannot come from the co-sputtering of a pure Ag target and a Ti target, because the Ag atom flow coming out from the same target has the great chance to form aggregates. The third one is that high temperature processes, e.g., gas phase deposition and sol–gel chemical process, for the Ag/TiO<sub>2</sub> system have a chance for the Ag aggregation. The well-dispersed Ag nanoparticle has the major role to effectively separate the electrons and holes by attracting the electron to prolong the lifetime of holes for bactericide. The electron and hole pairs in the TiO<sub>2</sub> matrix of Ag/TiO<sub>2</sub> are not generated from the fluorescent light-induced excitation due to its large band gap of 3.67 eV, but from the thermal equilibrium. Under the dark condition, the charge carriers at its thermal equilibrium are few in quantity for antibacterial activity and they also have a short lifetime leading to the fast charge recombination. For Ag/TiO<sub>2</sub> without the indoor light irradiation (Fig. 6a), its thermally induced charge carriers are few and it becomes holes dominant after the electrons are trapped by Ag nanoparticles. These holes with a low migration velocity will have a small travel area or hole-affecting zone to interact with microbes. Under the indoor light lighting, the thermal charge carriers on Ag/TiO<sub>2</sub> can be accelerated by the driving force of electrical field of light to have a higher drift velocity, to assist the charge separation through the electron attraction by Ag nanoparticles, and to achieve the larger hole-affecting zone. Those microbes located in the hole-affecting zone will undergo the bactericidal reaction after they react with  $\cdot\text{OH}$  radicals and H<sub>2</sub>O<sub>2</sub>, which come from the hole reaction with H<sub>2</sub>O.

Fig. 10 shows the bactericide mechanism of the 3% Ag/TiO<sub>2</sub> films under the illumination of a fluorescent lamp. To differentiate the bactericidal effect, pure TiO<sub>2</sub> (a,b) and 3% Ag/TiO<sub>2</sub> films (c,d) are tested without the illumination and under a fluorescent lamp. In this model, the electrons and holes at thermal equilibrium are co-existing in TiO<sub>2</sub> films and quickly recombine together. In 3% Ag–TiO<sub>2</sub> film, the total net charge carrier is electronic holes. These holes have a small affecting zone due to the nature of their random-walk propagation. However, the hole affecting zone become larger ( $\lambda_2 > \lambda_1$ ) due to the drift nature under the electric field of the fluorescent light. Other factors to affect the size of the hole-affecting zone include the charge concentration of TiO<sub>2</sub>, point defect, line defect, plane defect, crystallinity of TiO<sub>2</sub>, second phases, surface





**Fig. 10.** Bactericide mechanism of the 3% Ag/TiO<sub>2</sub> films under the illumination of a fluorescent lamp. To differentiate the bactericidal effect, pure TiO<sub>2</sub> (a,b) and 3% Ag/TiO<sub>2</sub> films (c,d) are tested without the illumination and under a fluorescent lamp.

state etc. The larger the hole-affecting zone, the easier for the bactericide. For our case of 3% Ag/TiO<sub>2</sub> film, the hole propagation is accelerated by electric field and does not hinder by the glassy state. During its propagation, the low carrier concentration at thermal equilibrium in TiO<sub>2</sub>, caused by its high  $E_g$  of 3.67 eV, lower its interference with other charges to lengthen its mean free path.

The bactericidal performance of our work cannot be totally attributed to the Ag<sup>+</sup> leaching at this stage. If the leaching Ag<sup>+</sup> is the only bactericidal medium [28,29,36], both of the bactericidal experiments in the dark room and under the illumination of a fluorescent lamp should have the same performance. In the dark condition, the 3% Ag/TiO<sub>2</sub> and 5% Ag/TiO<sub>2</sub> films had the bacteria-killing abilities or BC% of 30% and 40% (Fig. 6a), respectively. Under the indoor lighting, they reached 100% bacteria killing. It is expected that the higher Ag content and the wide Ag affecting zone can finally reach the 100% bacteria killing in the dark without the need of lighting. However, the many Ag nanoparticles in TiO<sub>2</sub> for 100% bacteria killing can lead to another environmental risk in the peeling of Ag nanoparticles. Our 3% Ag/TiO<sub>2</sub> film can be viewed as the film with the extremely low Ag content for the environmental sake.

At last, we need to firstly emphasize that Ag is good at bactericide but too many Ag contents or Ag aggregates will lead to low optical transmittance and no visible light-induced bactericide. Here the non-aggregated Ag nanoparticle had the particle size less than 8 nm. The Ag aggregates in Figs. 2 and 3 have the size of 20–40 nm, which had been also mentioned as Ag nanoparticle. The limited bactericide ability for Ag/TiO<sub>2</sub> composite films with bigger Ag aggregates is mainly contributed from the Ag aggregates itself. Secondly, our TiO<sub>2</sub> in good 3% Ag/TiO<sub>2</sub> films is amorphous instead of trying to form the anatase phase by substrate heating or annealing.

#### 4. Conclusions

Transparent Ag/TiO<sub>2</sub> composite films with the Ag contents of 0, 1, 3, and 5 vol.% were successfully deposited at 25, 200, and 400 °C on glass substrates by radio frequency sputtering with a single cermet target formed by hot pressing the Ag nanoparticle-decorated TiO<sub>2</sub> powder. The as-deposited films and films after post treatments by annealing and/or reduction were evaluated for their crystal

structure, microstructure, photodegradation ability in dye, optical property, and bactericide ability with and without fluorescent lamp lighting. The 25 °C-deposited 3% Ag/TiO<sub>2</sub> film with smooth morphology and an amorphous structure had performed the best in dye degradation and in the fluorescent light-induced bactericide ability. Any high temperature post treatment that caused the Ag oxidation or Ag protrusion due to aggregation had led to the worse and ineffective photocatalysis. The reason of the fluorescent light-induced bactericide ability for the 3% Ag/TiO<sub>2</sub> film is related to the synergy effect of the uniformly distributed Ag nanoparticles in TiO<sub>2</sub>, the enhanced drift velocity of thermally induced charge carriers under the assistance of the electrical field from the fluorescent lamp lighting, and the low charge carrier to avoid the interference of the hole propagation in the amorphous TiO<sub>2</sub> with higher band gap of 3.67 eV than the annealed one at 2.79 eV. The bactericide mechanism based upon the size change of the hole-affecting zone with the process condition is proposed. The Ag<sup>+</sup> release mechanism is not a major factor. The transparency above 70% is attributed to the uniform distribution and small quantity of the Ag nanoparticles. Our thin Ag/TiO<sub>2</sub> film with the indoor lighting-induced bactericide ability and the photodegradation ability in dye can provide the environmental protections by applying to the private and public facilities.

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